1	ASSISTED OUTPATIENT TREATMENT FOR MENTAL
2	ILLNESS
3	2019 GENERAL SESSION
4	STATE OF UTAH
5	Chief Sponsor: Lincoln Fillmore
6	House Sponsor: Steve Eliason
7 8	LONG TITLE
9	Committee Note:
10	The Health and Human Services Interim Committee recommended this bill.
11	General Description:
12	This bill creates a process for the provision of assisted outpatient treatment for an
13	individual with mental illness.
14	Highlighted Provisions:
15	This bill:
16	defines "assisted outpatient treatment";
17	 describes the services provided to an individual receiving assisted outpatient
18	treatment;
19	 describes the process whereby an individual is court ordered to receive assisted
20	outpatient treatment;
21	 requires a designated examiner to consider assisted outpatient treatment when
22	evaluating a proposed patient for civil commitment; and
23	makes technical changes.
24	Money Appropriated in this Bill:
25	None
26	Other Special Clauses:
27	None



3	Utah Code Sections Affected:
)	AMENDS:
)	17-43-301, as last amended by Laws of Utah 2018, Chapters 68 and 407
	62A-15-602, as last amended by Laws of Utah 2018, Chapter 322
2	62A-15-618, as renumbered and amended by Laws of Utah 2002, Fifth Special Session,
3	Chapter 8
ļ	62A-15-631, as last amended by Laws of Utah 2018, Chapter 322
5	62A-15-703, as last amended by Laws of Utah 2018, Chapter 322
Ó	ENACTS:
7	31A-22-650 , Utah Code Annotated 1953
3	62A-15-630.4 , Utah Code Annotated 1953
)	62A-15-630.5, Utah Code Annotated 1953
)	Be it enacted by the Legislature of the state of Utah:
2	Section 1. Section 17-43-301 is amended to read:
	17-43-301. Local mental health authorities Responsibilities.
	(1) As used in this section: (a) "Assisted outpetient treatment" means the same as that term is defined in Section
	(a) "Assisted outpatient treatment" means the same as that term is defined in Section
	62A-15-602.
	[(a)] <u>(b)</u> "Crisis worker" means the same as that term is defined in Section 62A-15-1301.
	[(b)] (c) "Local mental health crisis line" means the same as that term is defined in
	Section 63C-18-102.
	[(c)] (d) "Mental health therapist" means the same as that term is defined in Section
	58-60-102.
	$\left[\frac{\text{(d)}}{\text{(e)}}\right]$ (e) "Public funds" means the same as that term is defined in Section 17-43-303.
	[(e)] (f) "Statewide mental health crisis line" means the same as that term is defined in
	Section 63C-18-102.
	(2) (a) (i) In each county operating under a county executive-council form of
	government under Section 17-52a-203, the county legislative body is the local mental health
3	authority, provided however that any contract for plan services shall be administered by the

59 county executive.

60

61

62

63

64

6566

67

68

69

70

71

7273

74

75

76

77

78

79

80

81

82

83

84

85

86

87

88

- (ii) In each county operating under a council-manager form of government under Section 17-52a-204, the county manager is the local mental health authority.
- (iii) In each county other than a county described in Subsection (2)(a)(i) or (ii), the county legislative body is the local mental health authority.
- (b) Within legislative appropriations and county matching funds required by this section, under the direction of the division, each local mental health authority shall:
 - (i) provide mental health services to [persons] individuals within the county; and
- (ii) cooperate with efforts of the Division of Substance Abuse and Mental Health to promote integrated programs that address an individual's substance abuse, mental health, and physical healthcare needs, as described in Section 62A-15-103.
- (c) Within legislative appropriations and county matching funds required by this section, each local mental health authority shall cooperate with the efforts of the Department of Human Services to promote a system of care, as defined in Section 62A-1-104, for minors with or at risk for complex emotional and behavioral needs, as described in Section 62A-1-111.
- (3) (a) By executing an interlocal agreement under Title 11, Chapter 13, Interlocal Cooperation Act, two or more counties may join to:
 - (i) provide mental health prevention and treatment services; or
- (ii) create a united local health department that combines substance abuse treatment services, mental health services, and local health department services in accordance with Subsection (4).
- (b) The legislative bodies of counties joining to provide services may establish acceptable ways of apportioning the cost of mental health services.
 - (c) Each agreement for joint mental health services shall:
- (i) (A) designate the treasurer of one of the participating counties or another person as the treasurer for the combined mental health authorities and as the custodian of money available for the joint services; and
- (B) provide that the designated treasurer, or other disbursing officer authorized by the treasurer, may make payments from the money available for the joint services upon audit of the appropriate auditing officer or officers representing the participating counties;
 - (ii) provide for the appointment of an independent auditor or a county auditor of one of

the participating counties as the designated auditing officer for the combined mental health authorities;

- (iii) (A) provide for the appointment of the county or district attorney of one of the participating counties as the designated legal officer for the combined mental health authorities; and
- (B) authorize the designated legal officer to request and receive the assistance of the county or district attorneys of the other participating counties in defending or prosecuting actions within their counties relating to the combined mental health authorities; and
- (iv) provide for the adoption of management, clinical, financial, procurement, personnel, and administrative policies as already established by one of the participating counties or as approved by the legislative body of each participating county or interlocal board.
 - (d) An agreement for joint mental health services may provide for:
- (i) joint operation of services and facilities or for operation of services and facilities under contract by one participating local mental health authority for other participating local mental health authorities; and
- (ii) allocation of appointments of members of the mental health advisory council between or among participating counties.
- (4) A county governing body may elect to combine the local mental health authority with the local substance abuse authority created in Part 2, Local Substance Abuse Authorities, and the local health department created in Title 26A, Chapter 1, Part 1, Local Health Department Act, to create a united local health department under Section 26A-1-105.5. A local mental health authority that joins with a united local health department shall comply with this part.
- (5) (a) Each local mental health authority is accountable to the department, the Department of Health, and the state with regard to the use of state and federal funds received from those departments for mental health services, regardless of whether the services are provided by a private contract provider.
- (b) Each local mental health authority shall comply, and require compliance by its contract provider, with all directives issued by the department and the Department of Health regarding the use and expenditure of state and federal funds received from those departments for the purpose of providing mental health programs and services. The department and

121	Department of Health shall ensure that those directives are not duplicative or conflicting, and
122	shall consult and coordinate with local mental health authorities with regard to programs and
123	services.
124	(6) (a) Each local mental health authority shall:
125	(i) review and evaluate mental health needs and services, including mental health needs
126	and services for [persons]:
127	(A) an individual incarcerated in a county jail or other county correctional facility; and
128	(B) an individual who is a resident of the county and who is court ordered to receive
129	assisted outpatient treatment under Section 62A-15-630.5;
130	(ii) in accordance with Subsection (6)(b), annually prepare and submit to the division a
131	plan approved by the county legislative body for mental health funding and service delivery,
132	either directly by the local mental health authority or by contract;
133	(iii) establish and maintain, either directly or by contract, programs licensed under Title
134	62A, Chapter 2, Licensure of Programs and Facilities;
135	(iv) appoint, directly or by contract, a full-time or part-time director for mental health
136	programs and prescribe the director's duties;
137	(v) provide input and comment on new and revised rules established by the division;
138	(vi) establish and require contract providers to establish administrative, clinical,
139	personnel, financial, procurement, and management policies regarding mental health services
140	and facilities, in accordance with the rules of the division, and state and federal law;
141	(vii) establish mechanisms allowing for direct citizen input;
142	(viii) annually contract with the division to provide mental health programs and
143	services in accordance with the provisions of Title 62A, Chapter 15, Substance Abuse and
144	Mental Health Act;
145	(ix) comply with all applicable state and federal statutes, policies, audit requirements,
146	contract requirements, and any directives resulting from those audits and contract requirements;
147	(x) provide funding equal to at least 20% of the state funds that it receives to fund
148	services described in the plan;
149	(xi) comply with the requirements and procedures of Title 11, Chapter 13, Interlocal
150	Cooperation Act, Title 17B, Chapter 1, Part 6, Fiscal Procedures for Local Districts, and Title
151	51, Chapter 2a, Accounting Reports from Political Subdivisions, Interlocal Organizations, and

152	Other Local Entities Act; and
153	(xii) take and retain physical custody of minors committed to the physical custody of
154	local mental health authorities by a judicial proceeding under Title 62A, Chapter 15, Part 7,
155	Commitment of Persons Under Age 18 to Division of Substance Abuse and Mental Health.
156	(b) Each plan under Subsection (6)(a)(ii) shall include services for adults, youth, and
157	children, which shall include:
158	(i) inpatient care and services;
159	(ii) residential care and services;
160	(iii) outpatient care and services;
161	(iv) 24-hour crisis care and services;
162	(v) psychotropic medication management;
163	(vi) psychosocial rehabilitation, including vocational training and skills development;
164	(vii) case management;
165	(viii) community supports, including in-home services, housing, family support
166	services, and respite services;
167	(ix) consultation and education services, including case consultation, collaboration
168	with other county service agencies, public education, and public information; and
169	(x) services to persons incarcerated in a county jail or other county correctional facility.
170	(7) (a) If a local mental health authority provides for a local mental health crisis line
171	under the plan for 24-hour crisis care and services described in Subsection (6)(b)(iv), the local
172	mental health authority shall:
173	(i) collaborate with the statewide mental health crisis line described in Section
174	62A-15-1302;
175	(ii) ensure that each individual who answers calls to the local mental health crisis line:
176	(A) is a mental health therapist or a crisis worker; and
177	(B) meets the standards of care and practice established by the Division of Substance
178	Abuse and Mental Health, in accordance with Section 62A-15-1302; and
179	(iii) ensure that when necessary, based on the local mental health crisis line's capacity,
180	calls are immediately routed to the statewide mental health crisis line to ensure that when an
181	individual calls the local mental health crisis line, regardless of the time, date, or number of
182	individuals trying to simultaneously access the local mental health crisis line, a mental health

212

213

183	therapist or a crisis worker answers the call without the caller first:
184	(A) waiting on hold; or
185	(B) being screened by an individual other than a mental health therapist or crisis
186	worker.
187	(b) If a local mental health authority does not provide for a local mental health crisis
188	line under the plan for 24-hour crisis care and services described in Subsection (6)(b)(iv), the
189	local mental health authority shall use the statewide mental health crisis line as a local crisis
190	line resource.
191	(8) Before disbursing any public funds, each local mental health authority shall require
192	that each entity that receives any public funds from a local mental health authority agrees in
193	writing that:
194	(a) the entity's financial records and other records relevant to the entity's performance
195	of the services provided to the mental health authority shall be subject to examination by:
196	(i) the division;
197	(ii) the local mental health authority director;
198	(iii) (A) the county treasurer and county or district attorney; or
199	(B) if two or more counties jointly provide mental health services under an agreement
200	under Subsection (3), the designated treasurer and the designated legal officer;
201	(iv) the county legislative body; and
202	(v) in a county with a county executive that is separate from the county legislative
203	body, the county executive;
204	(b) the county auditor may examine and audit the entity's financial and other records
205	relevant to the entity's performance of the services provided to the local mental health
206	authority; and
207	(c) the entity will comply with the provisions of Subsection (5)(b).
208	(9) A local mental health authority may receive property, grants, gifts, supplies,
209	materials, contributions, and any benefit derived therefrom, for mental health services. If those
210	gifts are conditioned upon their use for a specified service or program, they shall be so used.
211	(10) Public funds received for the provision of services pursuant to the local mental

health plan may not be used for any other purpose except those authorized in the contract

between the local mental health authority and the provider for the provision of plan services.

214	(11) A local mental health authority shall provide assisted outpatient treatment
215	services, as described in Section 62A-15-630.4, to a resident of the county who has been
216	ordered under Section 62A-15-630.5 to receive assisted outpatient treatment.
217	Section 2. Section 31A-22-650 is enacted to read:
218	31A-22-650. Insurance coverage for assisted outpatient treatment.
219	(1) As used in this section, "assisted outpatient treatment" means the same as that term
220	is defined in Section 62A-15-602.
221	(2) A health insurance provider may not deny an insured the benefits of the insured's
222	policy solely because the health care that the insured receives is provided under a court order
223	for assisted outpatient treatment, as provided in Section 62A-15-630.5.
224	Section 3. Section 62A-15-602 is amended to read:
225	62A-15-602. Definitions.
226	As used in this part, Part 7, Commitment of Persons Under Age 18 to Division of
227	Substance Abuse and Mental Health, Part 8, Interstate Compact on Mental Health, Part 9, Utah
228	Forensic Mental Health Facility, Part 10, Declaration for Mental Health Treatment, and Part
229	12, Essential Treatment and Intervention Act:
230	(1) "Adult" means an individual 18 years of age or older.
231	(2) "Approved treatment facility or program" means a treatment provider that meets the
232	standards described in Subsection 62A-15-103(2)(a)(v).
233	(3) "Assisted outpatient treatment" means involuntary outpatient mental health
234	treatment ordered under Section 62A-15-630.5.
235	[(3)] (4) "Commitment to the custody of a local mental health authority" means that an
236	adult is committed to the custody of the local mental health authority that governs the mental
237	health catchment area where the adult resides or is found.
238	[(4)] (5) "Community mental health center" means an entity that provides treatment
239	and services to a resident of a designated geographical area, that operates by or under contract
240	with a local mental health authority, and that complies with state standards for community
241	mental health centers.
242	[(5)] <u>(6)</u> "Designated examiner" means:
243	(a) a licensed physician, preferably a psychiatrist, who is designated by the division as
244	specially qualified by training or experience in the diagnosis of mental or related illness; or

12-28-18 10:41 AM S.B. 39

245	(b) a licensed mental health professional designated by the division as specially
246	qualified by training and who has at least five years' continual experience in the treatment of
247	mental illness.
248	[(6)] <u>(7)</u> "Designee" means a physician who has responsibility for medical functions
249	including admission and discharge, an employee of a local mental health authority, or an
250	employee of a person that has contracted with a local mental health authority to provide mental
251	health services under Section 17-43-304.
252	[(7)] <u>(8)</u> "Essential treatment" and "essential treatment and intervention" mean
253	court-ordered treatment at a local substance abuse authority or an approved treatment facility or
254	program for the treatment of an adult's substance use disorder.
255	[(8)] (9) "Harmful sexual conduct" means the following conduct upon an individual
256	without the individual's consent, including the nonconsensual circumstances described in
257	Subsections 76-5-406(1) through (12):
258	(a) sexual intercourse;
259	(b) penetration, however slight, of the genital or anal opening of the individual;
260	(c) any sexual act involving the genitals or anus of the actor or the individual and the
261	mouth or anus of either individual, regardless of the gender of either participant; or
262	(d) any sexual act causing substantial emotional injury or bodily pain.
263	[(9)] (10) "Institution" means a hospital or a health facility licensed under Section
264	26-21-8.
265	[(10)] (11) "Local substance abuse authority" means the same as that term is defined in
266	Section 62A-15-102 and described in Section 17-43-201.
267	[(11)] (12) "Mental health facility" means the Utah State Hospital or other facility that
268	provides mental health services under contract with the division, a local mental health
269	authority, a person that contracts with a local mental health authority, or a person that provides
270	acute inpatient psychiatric services to a patient.
271	[(12)] (13) "Mental health officer" means an individual who is designated by a local
272	mental health authority as qualified by training and experience in the recognition and
273	identification of mental illness, to:
274	(a) apply for and provide certification for a temporary commitment; or
275	(b) assist in the arrangement of transportation to a designated mental health facility.

276	[(13)] <u>(14)</u> "Mental illness" means:
277	(a) a psychiatric disorder that substantially impairs an individual's mental, emotional,
278	behavioral, or related functioning; or
279	(b) the same as that term is defined in:
280	(i) the current edition of the Diagnostic and Statistical Manual of Mental Disorders
281	published by the American Psychiatric Association; or
282	(ii) the current edition of the International Statistical Classification of Diseases and
283	Related Health Problems.
284	[(14)] (15) "Patient" means an individual who is:
285	(a) under commitment to the custody or to the treatment services of a local mental
286	health authority; or
287	(b) undergoing essential treatment and intervention.
288	[(15)] (16) "Physician" means an individual who is:
289	(a) licensed as a physician under Title 58, Chapter 67, Utah Medical Practice Act; or
290	(b) licensed as a physician under Title 58, Chapter 68, Utah Osteopathic Medical
291	Practice Act.
292	[(16)] (17) "Serious bodily injury" means bodily injury that involves a substantial risk
293	of death, unconsciousness, extreme physical pain, protracted and obvious disfigurement, or
294	protracted loss or impairment of the function of a bodily member, organ, or mental faculty.
295	[(17)] (18) "Substantial danger" means that due to mental illness, an individual is at
296	serious risk of:
297	(a) suicide;
298	(b) serious bodily self-injury;
299	(c) serious bodily injury because the individual is incapable of providing the basic
300	necessities of life, including food, clothing, or shelter;
301	(d) causing or attempting to cause serious bodily injury to another individual; or
302	(e) engaging in harmful sexual conduct.
303	[(18)] (19) "Treatment" means psychotherapy, medication, including the administration
304	of psychotropic medication, or other medical treatments that are generally accepted medical or
305	psychosocial interventions for the purpose of restoring the patient to an optimal level of
306	functioning in the least restrictive environment.

12-28-18 10:41 AM S.B. 39

307	Section 4. Section 62A-15-618 is amended to read:
308	62A-15-618. Designated examiners.
309	(1) A designated examiner, when evaluating a proposed patient for civil commitment,
310	shall consider whether:
311	(a) a proposed patient has been under a court order for assisted outpatient treatment;
312	(b) the proposed patient complied with the terms of the assisted outpatient treatment
313	order, if any; and
314	(c) whether assisted outpatient treatment is sufficient to meet the proposed patient's
315	needs.
316	(2) Designated examiners shall be allowed a reasonable fee by the county legislative
317	body of the county in which the proposed patient resides or is found, unless they are otherwise
318	paid.
319	Section 5. Section 62A-15-630.4 is enacted to read:
320	62A-15-630.4. Assisted outpatient treatment services.
321	(1) The local mental health authority or its designee shall provide assisted outpatient
322	treatment, which shall include:
323	(a) case management; and
324	(b) an individualized treatment plan, created with input from the proposed patient
325	when possible.
326	(2) A court order for assisted outpatient treatment does not create independent
327	authority to forcibly medicate a patient.
328	Section 6. Section 62A-15-630.5 is enacted to read:
329	62A-15-630.5. Assisted outpatient treatment proceedings.
330	(1) A responsible individual who has credible knowledge of an adult's mental illness
331	and the condition or circumstances that have led to the adult's need for assisted outpatient
332	treatment may file, in the district court in the county where the proposed patient resides or is
333	found, a written application that includes:
334	(a) unless the court finds that the information is not reasonably available, the proposed
335	patient's:
336	(i) name;
337	(ii) date of birth; and

338	(iii) social security number; and
339	(b) (i) a certificate of a licensed physician or a designated examiner stating that within
340	the seven-day period immediately preceding the certification, the physician or designated
341	examiner examined the proposed patient and is of the opinion that the proposed patient has a
342	mental illness and should be involuntarily committed; or
343	(ii) a written statement by the applicant that:
344	(A) the proposed patient has been requested to, but has refused to, submit to an
345	examination of mental condition by a licensed physician or designated examiner;
346	(B) is sworn to under oath; and
347	(C) states the facts upon which the application is based.
348	(2) (a) Subject to Subsection (2)(b), before issuing a judicial order, the court may
349	require the applicant to consult with the appropriate local mental health authority, and the cour
350	may direct a mental health professional from that local mental health authority to interview the
351	applicant and the proposed patient to determine the existing facts and report them to the court.
352	(b) The consultation described in Subsection (2)(a):
353	(i) may take place at or before the hearing; and
354	(ii) is required if the local mental health authority appears at the hearing.
355	(3) If the proposed patient refuses to submit to an interview described in Subsection
356	(2)(a) or an examination described in Subsection (8), the court may issue an order, directed to a
357	mental health officer or peace officer, to immediately place the proposed patient into the
358	custody of a local mental health authority or in a temporary emergency facility, as provided in
359	Section 62A-15-634, to be detained for the purpose of examination.
360	(4) Notice of commencement of proceedings for assisted outpatient treatment, setting
361	forth the allegations of the application and any reported facts, together with a copy of any
362	official order of detention, shall:
363	(a) be provided by the court to a proposed patient before, or upon, placement into the
364	custody of a local mental health authority or, with respect to any proposed patient presently in
365	the custody of a local mental health authority;
366	(b) be maintained at the proposed patient's place of detention, if any;
367	(c) be provided by the court as soon as practicable to the applicant, any legal guardian,
368	any immediate adult family members, legal counsel for the parties involved, the local mental

12-28-18 10:41 AM S.B. 39

369	health authority or its designee, and any other person whom the proposed patient or the court
370	shall designate; and
371	(d) advise that a hearing may be held within the time provided by law.
372	(5) The district court may, in its discretion, transfer the case to any other district court
373	within this state, provided that the transfer will not be adverse to the interest of the proposed
374	patient.
375	(6) Within 24 hours, excluding Saturdays, Sundays, and legal holidays, of the issuance
376	of a judicial order, or after commitment of a proposed patient to a local mental health authority
377	or its designee under court order for detention in order to complete an examination, the court
378	shall appoint two designated examiners:
379	(a) who did not sign the assisted outpatient treatment application nor the certification
380	described in Subsection (1);
381	(b) one of whom is a licensed physician; and
382	(c) one of whom may be designated by the proposed patient or the proposed patient's
383	counsel, if that designated examiner is reasonably available.
384	(7) The court shall schedule a hearing to be held within 10 calendar days of the day on
385	which the designated examiners are appointed.
386	(8) The designated examiners shall:
387	(a) conduct their examinations separately;
388	(b) conduct the examinations at the home of the proposed patient, at a hospital or other
389	medical facility, or at any other suitable place that is not likely to have a harmful effect on the
390	proposed patient's health;
391	(c) inform the proposed patient, if not represented by an attorney:
392	(i) that the proposed patient does not have to say anything;
393	(ii) of the nature and reasons for the examination;
394	(iii) that the examination was ordered by the court;
395	(iv) that any information volunteered could form part of the basis for the proposed
396	patient to be ordered to receive assisted outpatient treatment; and
397	(v) that findings resulting from the examination will be made available to the court;
398	<u>and</u>
399	(d) within 24 hours of examining the proposed patient, report to the court, orally or in

writing, whether the proposed patient is mentally ill. If the designated examiner reports orally, the designated examiner shall immediately send a written report to the clerk of the court.

- (9) If a designated examiner is unable to complete an examination on the first attempt because the proposed patient refuses to submit to the examination, the court shall fix a reasonable compensation to be paid to the examiner.
- (10) If the local mental health authority, its designee, or a medical examiner determines before the court hearing that the conditions justifying the findings leading to an assisted outpatient treatment hearing no longer exist, the local mental health authority, its designee, or the medical examiner shall immediately report that determination to the court.
- (11) The court may terminate the proceedings and dismiss the application at any time, including prior to the hearing, if the designated examiners or the local mental health authority or its designee informs the court that the proposed patient is not mentally ill.
- (12) Before the hearing, an opportunity to be represented by counsel shall be afforded to the proposed patient, and if neither the proposed patient nor others provide counsel, the court shall appoint counsel and allow counsel sufficient time to consult with the proposed patient before the hearing. In the case of an indigent proposed patient, the payment of reasonable attorney fees for counsel, as determined by the court, shall be made by the county in which the proposed patient resides or is found.
- (13) (a) All persons to whom notice is required to be given shall be afforded an opportunity to appear at the hearing, to testify, and to present and cross-examine witnesses. The court may, in its discretion, receive the testimony of any other individual. The court may allow a waiver of the proposed patient's right to appear only for good cause shown, and that cause shall be made a matter of court record.
- (b) The court is authorized to exclude all individuals not necessary for the conduct of the proceedings and may, upon motion of counsel, require the testimony of each examiner to be given out of the presence of any other examiners.
- (c) The hearing shall be conducted in as informal a manner as may be consistent with orderly procedure, and in a physical setting that is not likely to have a harmful effect on the mental health of the proposed patient.
- (d) The court shall consider all relevant historical and material information that is offered, subject to the rules of evidence, including reliable hearsay under Rule 1102, Utah

431	Rules of Evidence.
432	(e) (i) A local mental health authority or its designee, or the physician in charge of the
433	proposed patient's care shall, at the time of the hearing, provide the court with the following
434	<u>information:</u>
435	(A) the detention order, if any;
436	(B) admission notes, if any;
437	(C) the diagnosis, if any;
438	(D) doctor's orders, if any;
439	(E) progress notes, if any;
440	(F) nursing notes, if any; and
441	(G) medication records, if any.
442	(ii) The information described in Subsection (13)(e)(i) shall also be provided to the
443	proposed patient's counsel:
444	(A) at the time of the hearing; and
445	(B) at any time prior to the hearing, upon request.
446	(14) The court shall order a proposed patient to assisted outpatient treatment if, upon
447	completion of the hearing and consideration of the information presented, the court finds by
448	clear and convincing evidence that:
449	(a) the proposed patient has a mental illness;
450	(b) there is no appropriate less-restrictive alternative to a court order for assisted
451	outpatient treatment; and
452	(c) (i) the proposed patient lacks the ability to engage in a rational decision-making
453	process regarding the acceptance of mental health treatment, as demonstrated by evidence of
454	inability to weigh the possible risks of accepting or rejecting treatment; or
455	(ii) the proposed patient needs assisted outpatient treatment in order to prevent relapse
456	or deterioration that is likely to result in the proposed patient posing a substantial danger to self
457	or others.
458	(15) The court may order the applicant or a close relative of the patient to be the
459	patient's personal representative, as described in 45 C.F.R. Sec. 164.502(g), for purposes of the
460	patient's mental health treatment.
461	(16) In the absence of the findings described in Subsection (14), the court, after the

462	hearing, shall dismiss the proceedings.
463	(17) (a) The assisted outpatient treatment order shall designate the period for which the
464	patient shall be treated, which may not exceed six months without a review hearing.
465	(b) An individual identified under Subsection (4) may request a review hearing at any
466	time while the assisted outpatient treatment order is in effect.
467	(c) At a review hearing, the court may extend the duration of an assisted outpatient
468	treatment order by up to six months, if:
469	(i) the court finds by clear and convincing evidence that the patient meets the
470	conditions described in Subsection (14); or
471	(ii) (A) the patient does not appear at the review hearing; and
472	(B) notice of the review hearing was provided to the patient's last known address by the
473	applicant described in Subsection (1) or by a local mental health authority.
474	(d) The court shall maintain a current list of all patients under its order of assisted
475	outpatient treatment.
476	(e) At least two weeks prior to the expiration of the designated period of any assisted
477	outpatient treatment order still in effect, the court that entered the original order shall inform
478	the appropriate local mental health authority or its designee.
479	(18) Costs of all proceedings under this section shall be paid by the county in which the
480	proposed patient resides or is found.
481	(19) A court may not hold an individual in contempt for failure to comply with an
482	assisted outpatient treatment order.
483	(20) As provided in Section 31A-22-650, a health insurance provider may not deny an
484	insured the benefits of the insured's policy solely because the health care that the insured
485	receives is provided under a court order for assisted outpatient treatment.
486	Section 7. Section 62A-15-631 is amended to read:
487	62A-15-631. Involuntary commitment under court order Examination
488	Hearing Power of court Findings required Costs.
489	(1) A responsible [person] individual who has [reason to know] credible knowledge of
490	an adult's mental illness and the condition or circumstances that have led to the adult's need to
491	be involuntarily committed may initiate an involuntary commitment court proceeding by filing,
492	in the district court in the county where the proposed patient resides or is found, a written

498

499

500

501

502

503

504

505

506

507

508

509

510

511

512

513

514

515

516

517

518

519

520

521

522

523

493	application that includes:
494	(a) unless the court finds that the information is not reasonably available, the proposed
495	patient's:
496	(i) name;
497	(ii) date of birth; and

- (b) (i) a certificate of a licensed physician or a designated examiner stating that within the seven-day period immediately preceding the certification, the physician or designated examiner examined the proposed patient and is of the opinion that the proposed patient has a mental illness and should be involuntarily committed; or
 - (ii) a written statement by the applicant that:
- (A) the proposed patient has been requested to, but has refused to, submit to an examination of mental condition by a licensed physician or designated examiner;
 - (B) is sworn to under oath; and

(iii) social security number; [and]

- (C) states the facts upon which the application is based[:]; and
- (c) a statement whether the proposed patient has previously been under an assisted outpatient treatment order, if known by the applicant.
- (2) (a) Subject to Subsection (2)(b), before issuing a judicial order, the court may require the applicant to consult with the appropriate local mental health authority, and the court may direct a mental health professional from that local mental health authority to interview the applicant and the proposed patient to determine the existing facts and report them to the court.
 - (b) The consultation described in Subsection (2)(a):
 - (i) may take place at or before the hearing; and
 - (ii) is required if the local mental health authority appears at the hearing.
- (3) If the court finds from the application, from any other statements under oath, or from any reports from a mental health professional that there is a reasonable basis to believe that the proposed patient has a mental illness that poses a substantial danger to self or others requiring involuntary commitment pending examination and hearing; or, if the proposed patient has refused to submit to an interview with a mental health professional as directed by the court or to go to a treatment facility voluntarily, the court may issue an order, directed to a mental health officer or peace officer, to immediately place the proposed patient in the custody of a

local mental health authority or in a temporary emergency facility as provided in Section 62A-15-634 to be detained for the purpose of examination.

- (4) Notice of commencement of proceedings for involuntary commitment, setting forth the allegations of the application and any reported facts, together with a copy of any official order of detention, shall be provided by the court to a proposed patient before, or upon, placement in the custody of a local mental health authority or, with respect to any proposed patient presently in the custody of a local mental health authority whose status is being changed from voluntary to involuntary, upon the filing of an application for that purpose with the court. A copy of that order of detention shall be maintained at the place of detention.
- (5) Notice of commencement of those proceedings shall be provided by the court as soon as practicable to the applicant, any legal guardian, any immediate adult family members, legal counsel for the parties involved, the local mental health authority or its designee, and any other persons whom the proposed patient or the court shall designate. That notice shall advise those persons that a hearing may be held within the time provided by law. If the proposed patient has refused to permit release of information necessary for provisions of notice under this subsection, the extent of notice shall be determined by the court.
- (6) Proceedings for commitment of an individual under the age of 18 years to a local mental health authority may be commenced in accordance with Part 7, Commitment of Persons Under Age 18 to Division of Substance Abuse and Mental Health.
- (7) The district court may, in its discretion, transfer the case to any other district court within this state, provided that the transfer will not be adverse to the interest of the proposed patient.
- (8) Within 24 hours, excluding Saturdays, Sundays, and legal holidays, of the issuance of a judicial order, or after commitment of a proposed patient to a local mental health authority or its designee under court order for detention or examination, the court shall appoint two designated examiners:
- (a) who did not sign the civil commitment application nor the civil commitment certification under Subsection (1);
 - (b) one of whom is a licensed physician; and
- (c) one of whom may be designated by the proposed patient or the proposed patient's counsel, if that designated examiner is reasonably available.

12-28-18 10:41 AM S.B. 39

555 (9) The court shall schedule a hearing to be held within 10 calendar days of the day on 556 which the designated examiners are appointed. 557 (10) The designated examiners shall: 558 (a) conduct their examinations separately; 559 (b) conduct the examinations at the home of the proposed patient, at a hospital or other 560 medical facility, or at any other suitable place that is not likely to have a harmful effect on the 561 proposed patient's health; 562 (c) inform the proposed patient, if not represented by an attorney: 563 (i) that the proposed patient does not have to say anything; 564 (ii) of the nature and reasons for the examination; 565 (iii) that the examination was ordered by the court; 566 (iv) that any information volunteered could form part of the basis for the proposed 567 patient's involuntary commitment; and 568 (v) that findings resulting from the examination will be made available to the court; 569 and 570 (d) within 24 hours of examining the proposed patient, report to the court, orally or in 571 writing, whether the proposed patient is mentally ill, has agreed to voluntary commitment, as described in Section 62A-15-625, or has acceptable programs available to the proposed patient 572 573 without court proceedings. If the designated examiner reports orally, the designated examiner 574 shall immediately send a written report to the clerk of the court. 575 (11) If a designated examiner is unable to complete an examination on the first attempt because the proposed patient refuses to submit to the examination, the court shall fix a 576 577 reasonable compensation to be paid to the examiner. 578 (12) If the local mental health authority, its designee, or a medical examiner determines before the court hearing that the conditions justifying the findings leading to a commitment hearing no longer exist, the local mental health authority, its designee, or the medical examiner shall immediately report that determination to the court.

579

582

583

584

- 580 581
 - (13) The court may terminate the proceedings and dismiss the application at any time, including prior to the hearing, if the designated examiners or the local mental health authority or its designee informs the court that the proposed patient:
 - (a) is not mentally ill;

(b) has agreed to voluntary commitment, as described in Section 62A-15-625; or

- (c) has acceptable options for treatment programs that are available without court proceedings.
- (14) Before the hearing, an opportunity to be represented by counsel shall be afforded to [every] the proposed patient, and if neither the proposed patient nor others provide counsel, the court shall appoint counsel and allow counsel sufficient time to consult with the proposed patient before the hearing. In the case of an indigent proposed patient, the payment of reasonable attorney fees for counsel, as determined by the court, shall be made by the county in which the proposed patient resides or is found.
- (15) (a) The proposed patient, the applicant, and all other persons to whom notice is required to be given shall be afforded an opportunity to appear at the hearing, to testify, and to present and cross-examine witnesses. The court may, in its discretion, receive the testimony of any other person. The court may allow a waiver of the proposed patient's right to appear only for good cause shown, and that cause shall be made a matter of court record.
- (b) The court is authorized to exclude all persons not necessary for the conduct of the proceedings and may, upon motion of counsel, require the testimony of each examiner to be given out of the presence of any other examiners.
- (c) The hearing shall be conducted in as informal a manner as may be consistent with orderly procedure, and in a physical setting that is not likely to have a harmful effect on the mental health of the proposed patient.
- (d) The court shall consider all relevant historical and material information that is offered, subject to the rules of evidence, including reliable hearsay under Rule 1102, Utah Rules of Evidence.
- (e) (i) A local mental health authority or its designee, or the physician in charge of the proposed patient's care shall, at the time of the hearing, provide the court with the following information:
 - (A) the detention order;
- 613 (B) admission notes;
- 614 (C) the diagnosis;

- (D) any doctors' orders;
- 616 (E) progress notes;

646647

617	(F) nursing notes; [and]
618	(G) medication records pertaining to the current commitment[-]; and
619	(H) whether the proposed patient has previously been civilly committed or under an
620	order for assisted outpatient treatment.
621	(ii) That information shall also be supplied to the proposed patient's counsel at the time
622	of the hearing, and at any time prior to the hearing upon request.
623	(16) The court shall order commitment of a proposed patient who is 18 years of age or
624	older to a local mental health authority if, upon completion of the hearing and consideration of
625	the information presented in accordance with Subsection (15)(d), the court finds by clear and
626	convincing evidence that:
627	(a) the proposed patient has a mental illness;
628	(b) because of the proposed patient's mental illness the proposed patient poses a
629	substantial danger to self or others;
630	(c) the proposed patient lacks the ability to engage in a rational decision-making
631	process regarding the acceptance of mental treatment as demonstrated by evidence of inability
632	to weigh the possible risks of accepting or rejecting treatment;
633	(d) there is no appropriate less-restrictive alternative to a court order of commitment;
634	and
635	(e) the local mental health authority can provide the proposed patient with treatment
636	that is adequate and appropriate to the proposed patient's conditions and needs. In the absence
637	of the required findings of the court after the hearing, the court shall dismiss the proceedings.
638	(17) (a) The order of commitment shall designate the period for which the patient shall
639	be treated. When the patient is not under an order of commitment at the time of the hearing,
640	that period may not exceed six months without benefit of a review hearing. Upon such a
641	review hearing, to be commenced prior to the expiration of the previous order, an order for
642	commitment may be for an indeterminate period, if the court finds by clear and convincing
643	evidence that the required conditions in Subsection (16) will last for an indeterminate period.
644	(b) The court shall maintain a current list of all patients under its order of commitment.
645	That list shall be reviewed to determine those patients who have been under an order of

commitment for the designated period. At least two weeks prior to the expiration of the

designated period of any order of commitment still in effect, the court that entered the original

order shall inform the appropriate local mental health authority or its designee. The local mental health authority or its designee shall immediately reexamine the reasons upon which the order of commitment was based. If the local mental health authority or its designee determines that the conditions justifying that commitment no longer exist, it shall discharge the patient from involuntary commitment and immediately report the discharge to the court. Otherwise, the court shall immediately appoint two designated examiners and proceed under Subsections (8) through (14).

- (c) The local mental health authority or its designee responsible for the care of a patient under an order of commitment for an indeterminate period shall, at six-month intervals, reexamine the reasons upon which the order of indeterminate commitment was based. If the local mental health authority or its designee determines that the conditions justifying that commitment no longer exist, that local mental health authority or its designee shall discharge the patient from its custody and immediately report the discharge to the court. If the local mental health authority or its designee determines that the conditions justifying that commitment continue to exist, the local mental health authority or its designee shall send a written report of those findings to the court. The patient and the patient's counsel of record shall be notified in writing that the involuntary commitment will be continued, the reasons for that decision, and that the patient has the right to a review hearing by making a request to the court. Upon receiving the request, the court shall immediately appoint two designated examiners and proceed under Subsections (8) through (14).
- (18) Any patient committed as a result of an original hearing or a patient's legally designated representative who is aggrieved by the findings, conclusions, and order of the court entered in the original hearing has the right to a new hearing upon a petition filed with the court within 30 days of the entry of the court order. The petition must allege error or mistake in the findings, in which case the court shall appoint three impartial designated examiners previously unrelated to the case to conduct an additional examination of the patient. The new hearing shall, in all other respects, be conducted in the manner otherwise permitted.
- (19) Costs of all proceedings under this section shall be paid by the county in which the proposed patient resides or is found.
 - Section 8. Section **62A-15-703** is amended to read:
 - 62A-15-703. Residential and inpatient settings -- Commitment proceeding --

12-28-18 10:41 AM S.B. 39

Child in physical custody of local mental health authority.

(1) A child may receive services from a local mental health authority in an inpatient or residential setting only after a commitment proceeding, for the purpose of transferring physical custody, has been conducted in accordance with the requirements of this section.

- (2) That commitment proceeding shall be initiated by a petition for commitment, and shall be a careful, diagnostic inquiry, conducted by a neutral and detached fact finder, pursuant to the procedures and requirements of this section. If the findings described in Subsection (4) exist, the proceeding shall result in the transfer of physical custody to the appropriate local mental health authority, and the child may be placed in an inpatient or residential setting.
 - (3) The neutral and detached fact finder who conducts the inquiry:
 - (a) shall be a designated examiner, as defined in Section 62A-15-602; and
- (b) may not profit, financially or otherwise, from the commitment or physical placement of the child in that setting.
- (4) Upon determination by a fact finder that the following circumstances clearly exist, the fact finder may order that the child be committed to the physical custody of a local mental health authority:
 - (a) the child has a mental illness, as defined in [Subsection] Section 62A-15-602[(13)];
- (b) the child demonstrates a reasonable fear of the risk of substantial danger to self or others;
- (c) the child will benefit from care and treatment by the local mental health authority; and
 - (d) there is no appropriate less-restrictive alternative.
- (5) (a) The commitment proceeding before the neutral and detached fact finder shall be conducted in as informal manner as possible and in a physical setting that is not likely to have a harmful effect on the child.
- (b) The child, the child's parent or legal guardian, the petitioner, and a representative of the appropriate local mental health authority:
 - (i) shall receive informal notice of the date and time of the proceeding; and
 - (ii) may appear and address the petition for commitment.
- 708 (c) The neutral and detached fact finder may, in the fact finder's discretion, receive the testimony of any other person.

(d) The fact finder may allow a child to waive the child's right to be present at the commitment proceeding, for good cause shown. If that right is waived, the purpose of the waiver shall be made a matter of record at the proceeding.

- (e) At the time of the commitment proceeding, the appropriate local mental health authority, its designee, or the psychiatrist who has been in charge of the child's care prior to the commitment proceeding, shall provide the neutral and detached fact finder with the following information, as it relates to the period of current admission:
 - (i) the petition for commitment;
- (ii) the admission notes;
- 719 (iii) the child's diagnosis;
- 720 (iv) physicians' orders;
- 721 (v) progress notes;

- 722 (vi) nursing notes; and
- 723 (vii) medication records.
 - (f) The information described in Subsection (5)(e) shall also be provided to the child's parent or legal guardian upon written request.
 - (g) (i) The neutral and detached fact finder's decision of commitment shall state the duration of the commitment. Any commitment to the physical custody of a local mental health authority may not exceed 180 days. Prior to expiration of the commitment, and if further commitment is sought, a hearing shall be conducted in the same manner as the initial commitment proceeding, in accordance with the requirements of this section.
 - (ii) At the conclusion of the hearing and subsequently in writing, when a decision for commitment is made, the neutral and detached fact finder shall inform the child and the child's parent or legal guardian of that decision and of the reasons for ordering commitment.
 - (iii) The neutral and detached fact finder shall state in writing the basis of the decision, with specific reference to each of the criteria described in Subsection (4), as a matter of record.
 - (6) A child may be temporarily committed for a maximum of 72 hours, excluding Saturdays, Sundays, and legal holidays, to the physical custody of a local mental health authority in accordance with the procedures described in Section 62A-15-629 and upon satisfaction of the risk factors described in Subsection (4). A child who is temporarily committed shall be released at the expiration of the 72 hours unless the procedures and findings

required by this section for the commitment of a child are satisfied.

(7) A local mental health authority shall have physical custody of each child committed to it under this section. The parent or legal guardian of a child committed to the physical custody of a local mental health authority under this section, retains legal custody of the child, unless legal custody has been otherwise modified by a court of competent jurisdiction. In cases when the Division of Child and Family Services or the Division of Juvenile Justice Services has legal custody of a child, that division shall retain legal custody for purposes of this part.

- (8) The cost of caring for and maintaining a child in the physical custody of a local mental health authority shall be assessed to and paid by the child's parents, according to their ability to pay. For purposes of this section, the Division of Child and Family Services or the Division of Juvenile Justice Services shall be financially responsible, in addition to the child's parents, if the child is in the legal custody of either of those divisions at the time the child is committed to the physical custody of a local mental health authority under this section, unless Medicaid regulation or contract provisions specify otherwise. The Office of Recovery Services shall assist those divisions in collecting the costs assessed pursuant to this section.
- (9) Whenever application is made for commitment of a minor to a local mental health authority under any provision of this section by a person other than the child's parent or guardian, the local mental health authority or its designee shall notify the child's parent or guardian. The parents shall be provided sufficient time to prepare and appear at any scheduled proceeding.
- (10) (a) Each child committed pursuant to this section is entitled to an appeal within 30 days after any order for commitment. The appeal may be brought on the child's own petition or on petition of the child's parent or legal guardian, to the juvenile court in the district where the child resides or is currently physically located. With regard to a child in the custody of the Division of Child and Family Services or the Division of Juvenile Justice Services, the attorney general's office shall handle the appeal, otherwise the appropriate county attorney's office is responsible for appeals brought pursuant to this Subsection (10)(a).
- (b) Upon receipt of the petition for appeal, the court shall appoint a designated examiner previously unrelated to the case, to conduct an examination of the child in accordance with the criteria described in Subsection (4), and file a written report with the court. The court shall then conduct an appeal hearing to determine whether the findings described in Subsection

- 772 (4) exist by clear and convincing evidence.
 - (c) Prior to the time of the appeal hearing, the appropriate local mental health authority, its designee, or the mental health professional who has been in charge of the child's care prior to commitment, shall provide the court and the designated examiner for the appeal hearing with the following information, as it relates to the period of current admission:
 - (i) the original petition for commitment;
- 778 (ii) admission notes;
- 779 (iii) diagnosis;

- 780 (iv) physicians' orders;
- 781 (v) progress notes;
- 782 (vi) nursing notes; and
- 783 (vii) medication records.
 - (d) Both the neutral and detached fact finder and the designated examiner appointed for the appeal hearing shall be provided with an opportunity to review the most current information described in Subsection (10)(c) prior to the appeal hearing.
 - (e) The child, the child's parent or legal guardian, the person who submitted the original petition for commitment, and a representative of the appropriate local mental health authority shall be notified by the court of the date and time of the appeal hearing. Those persons shall be afforded an opportunity to appear at the hearing. In reaching its decision, the court shall review the record and findings of the neutral and detached fact finder, the report of the designated examiner appointed pursuant to Subsection (10)(b), and may, in its discretion, allow or require the testimony of the neutral and detached fact finder, the designated examiner, the child, the child's parent or legal guardian, the person who brought the initial petition for commitment, or any other person whose testimony the court deems relevant. The court may allow the child to waive the right to appear at the appeal hearing, for good cause shown. If that waiver is granted, the purpose shall be made a part of the court's record.
 - (11) Each local mental health authority has an affirmative duty to conduct periodic evaluations of the mental health and treatment progress of every child committed to its physical custody under this section, and to release any child who has sufficiently improved so that the criteria justifying commitment no longer exist.
 - (12) (a) A local mental health authority or its designee, in conjunction with the child's

current treating mental health professional may release an improved child to a less restrictive environment, as they determine appropriate. Whenever the local mental health authority or its designee, and the child's current treating mental health professional, determine that the conditions justifying commitment no longer exist, the child shall be discharged and released to the child's parent or legal guardian. With regard to a child who is in the physical custody of the State Hospital, the treating psychiatrist or clinical director of the State Hospital shall be the child's current treating mental health professional.

- (b) A local mental health authority or its designee, in conjunction with the child's current treating mental health professional, is authorized to issue a written order for the immediate placement of a child not previously released from an order of commitment into a more restrictive environment, if the local authority or its designee and the child's current treating mental health professional has reason to believe that the less restrictive environment in which the child has been placed is exacerbating the child's mental illness, or increasing the risk of harm to self or others.
- (c) The written order described in Subsection (12)(b) shall include the reasons for placement in a more restrictive environment and shall authorize any peace officer to take the child into physical custody and transport the child to a facility designated by the appropriate local mental health authority in conjunction with the child's current treating mental health professional. Prior to admission to the more restrictive environment, copies of the order shall be personally delivered to the child, the child's parent or legal guardian, the administrator of the more restrictive environment, or the administrator's designee, and the child's former treatment provider or facility.
- (d) If the child has been in a less restrictive environment for more than 30 days and is aggrieved by the change to a more restrictive environment, the child or the child's representative may request a review within 30 days of the change, by a neutral and detached fact finder as described in Subsection (3). The fact finder shall determine whether:
- (i) the less restrictive environment in which the child has been placed is exacerbating the child's mental illness or increasing the risk of harm to self or others; or
- (ii) the less restrictive environment in which the child has been placed is not exacerbating the child's mental illness or increasing the risk of harm to self or others, in which case the fact finder shall designate that the child remain in the less restrictive environment.

(e) Nothing in this section prevents a local mental health authority or its designee, in conjunction with the child's current mental health professional, from discharging a child from commitment or from placing a child in an environment that is less restrictive than that designated by the neutral and detached fact finder.

- (13) Each local mental health authority or its designee, in conjunction with the child's current treating mental health professional shall discharge any child who, in the opinion of that local authority, or its designee, and the child's current treating mental health professional, no longer meets the criteria specified in Subsection (4), except as provided by Section 78A-6-120. The local authority and the mental health professional shall assure that any further supportive services required to meet the child's needs upon release will be provided.
- (14) Even though a child has been committed to the physical custody of a local mental health authority under this section, the child is still entitled to additional due process proceedings, in accordance with Section 62A-15-704, before any treatment that may affect a constitutionally protected liberty or privacy interest is administered. Those treatments include, but are not limited to, antipsychotic medication, electroshock therapy, and psychosurgery.